

# PCX EQUITIES

## REGULATORY INFORMATION BULLETIN

RBE 02-03  
September 26, 2002

**TO: All PCXE Market Makers and Odd Lot Dealers**

**FROM: PCX Regulation Division**

**SUBJECT: Temporary Suspension of Odd Lot Dealer Securities Registration**

Due to system problems with the Odd Lot Tracking order type on ArcaEx, the Pacific Exchange (“PCX”) is issuing this bulletin to advise all Pacific Exchange Equities (“PCXE”) Registered Market Makers and Registered Odd Lot Dealers that the Regulation Division of the PCXE has granted a temporary suspension per Rule 7.25(d)(2) which allows for suspension of an Odd Lot Dealers registration in a security or securities in the interest of maintaining a fair and orderly market. This temporary suspension is in effect until further notice.

If you have any questions regarding this matter, please contact David Rosedahl, Executive Vice President and Chief Regulatory Officer at (415) 393-4037 or John Chapin, Director, Equities Surveillance at (312) 442-7790.