

December 30, 2005 WB-05-52

The Bylaws and Rules of the Archipelago Exchange ("Exchange"), in certain specific instances, require the Exchange to provide notice to all OTP Firms and OTP Holders (collectively "OTPs") and ETP Holders ("ETPs"). To satisfy this requirement, a copy of the *Weekly Bulletin*, including *Regulatory Bulletins*, is provided to all OTPs and ETPs on a weekly basis.

Pursuant to Exchange Rules, all OTPs and ETPs are reminded to promptly report business, home and mailing address changes, telephone number changes, and e-mail address changes to [crs@archipelago.com](mailto:crs@archipelago.com) Client Registration Services at (415) 393-4114.



#### APPROVED ETP APPLICANTS\*

Nollenberger Capital Partners  
Shamrock Trading Group

#### APPROVED MARKET MAKER/ETP HOLDERS\*

(none)

#### TERMINATED ETP HOLDERS\*

(none)

<b>Total ETP Holders</b>	602
<b>Total MM/ETP Holders</b>	36

\* for current week (as of 8 a.m. today)

#### NEW OTP FIRMS AND HOLDERS

12/19 – Blumenau, Joel A. – Remote Market Maker for Peak6 Capital Management, LLC  
12/19 – Needlman, Todd – Remote Market Maker for Peak6 Capital Management, LLC

#### TERMINATED OTP FIRMS AND HOLDERS

(none)

#### OTP POSTINGS

The following applicants have applied for OTP status at the Exchange and are being posted for a period of three business days, during which time interested OTPs may submit written comments with respect to the qualifications of the applicants. Admission of applicants will become effective after applicants have been cleared and approved for OTP status pursuant to the policies of the Exchange.

(none)

#### REPORTS DUE TO THE EXCHANGE

##### Focus Report Due Dates – SEC Rules 17a-5(a) & 17a-10

*FOCUS Reports must be submitted electronically and received by the PCX Financial & Operational Compliance Department on or before the following due dates:*

**Monthly FOCUS Reports** are required from all ArcaEx Market Makers, Order Service Firms and PCX Lead Market Makers:

**November 2005 Monthly FOCUS Report**  
**Due: Friday, December 23, 2005**

**Quarterly FOCUS Reports** are required from all Clearing OTPs and DEA Broker-Dealers subject to the SEC Net Capital Rule:

**4<sup>th</sup> Quarter 2005 FOCUS Report**  
**Due: Thursday, January 26, 2006**

##### Annual Audited Financial Statements – SEC Rule 17a-5(d)

*OTPs and ETPs are reminded to file their Annual Reports with the PCX Financial & Operational Compliance Department by the following due dates:*

OTPs and ETPs with FYE October 31, 2005..... December 30, 2005

OTPs and ETPs with FYE November 30, 2005 ..... January 30, 2006

OTPs and ETPs are reminded to submit Annual Audited Financial Statements, Material Change Forms, Financial Arrangement Disclosures, and SIPC payments to the following address:

Pacific Exchange, Inc.  
**Financial & Operational Compliance Department**  
100 South Wacker Drive, Suite 1500  
Chicago, IL 60606  
Tel # (312) 442-7999 • Fax # (312) 275-7335

#### REGULATORY BULLETINS/NOTICES IN THIS ISSUE

(none in this issue)