



# NYSE Arca WEEKLY BULLETIN

August 15, 2008 WB-08-33

The Bylaws and Rules of NYSE Arca<sup>sm</sup> Exchange ("Exchange"), in certain specific instances, require the Exchange to provide notice to all OTP Firms and OTP Holders (collectively "OTPs") and ETP Holders ("ETPs"). To satisfy this requirement, a copy of the *Weekly Bulletin*, including *Regulatory Bulletins*, is provided to all OTPs and ETPs on a weekly basis.

Pursuant to Exchange Rules, all OTPs and ETPs are reminded to promptly report business, home and mailing address changes, telephone number changes, and e-mail address changes to [crs@nyx.com](mailto:crs@nyx.com) or Client Registration Services at (888) 689-7739, option 3.

## NYSE Arca Equities

**NEWLY APPROVED ETP HOLDERS\***  
(none)

**NEWLY APPROVED MARKET MAKER/ETP HOLDERS**  
(none)

**TERMINATED ETP HOLDERS**

**ETP HOLDER NAME CHANGES**

<b>Total ETP Holders</b>	<b>604</b>
<b>Total MM/ETP Holders</b>	<b>45</b>

## NYSE Arca Options

**NEWLY APPROVED OTP FIRMS AND HOLDERS\***  
08/15 – Messina, Marc – Office Nominee for Gelber Securities LLC  
08/15 – Gelber Securities LLC - Broker Dealer and OTP Firm  
08/19 – Capote, Andy – Market Maker for VTrader Pro, LLC

**TERMINATED OTP FIRMS AND HOLDERS**  
None

**OTP FIRM NAME CHANGES**

<b>Total OTP Holders</b>	<b>100</b>
<b>Total MM/OTP Holders</b>	<b>34</b>

<b>Total ETP &amp; OTP Holders</b>	<b>519</b>
<b>Total ETP Only Holders</b>	<b>86</b>
<b>Total OTP Only Holders</b>	<b>15</b>

### IMM ASSIGNMENTS

IMM assignment for QQQQ: M02 A38-PRDGA 8/18 to 8/22  
IMM assignment for IWM: H40 C20-SHULA 8/18 to 8/22  
For more information visit:  
[http://www.nyse.com/pdfs/Issues\\_No\\_LMM.pdf](http://www.nyse.com/pdfs/Issues_No_LMM.pdf)

### OTP POSTINGS

The following applicants have applied for OTP status at the Exchange and are being posted for a period of three business days, during which time interested OTPs may submit written comments with respect to the qualifications of the applicants. Admission of applicants will become effective after applicants have been cleared and approved for OTP status pursuant to the policies of the Exchange.

ABS Brokerage Services, LLC  
Geyer, Todd – Office Nominee for ABS Brokerage Services LLC  
Susquehanna Capital Group  
Goldberg, Alan – Office Nominee for Susquehanna Capital Group

### REPORTS DUE TO THE EXCHANGE

#### Focus Report Due Dates – SEC Rules 17a-5(a) & 17a-10

*FOCUS Reports must be submitted electronically and received by the NYSE Arca Financial & Operational Compliance Department on or before the following due dates:*

**Monthly FOCUS Reports** are required from all NYSE Arca Market Makers and NYSE Arca Lead Market Makers:

**July 2008 Monthly FOCUS Report**  
**Due: Monday, August 25, 2008**

**Quarterly FOCUS Reports** are required from all Clearing OTPs and DEA Broker-Dealers subject to the SEC Net Capital Rule:

**3rd Quarter 2008 FOCUS Report**  
**Due: Thursday, October 23, 2008**

#### Annual Audited Financial Statements – SEC Rule 17a-5(d)

*OTPs and ETPs are reminded to file their Annual Reports with the NYSE Arca Financial & Operational Compliance Department by the following due dates:*

OTPs and ETPs with FYE June 30, 2008 .....August 29, 2008

OTPs and ETPs with FYE August 31, 2008 ..... October 30, 2008

OTPs and ETPs are reminded to submit Annual Audited Financial Statements, Material Change Forms, Financial Arrangement Disclosures, and SIPC payments to the following address:

NYSE Euronext  
Attn: [Firm's NYSE Coordinator]  
20 Broad Street, 21<sup>st</sup> Floor  
New York, NY 10005  
Fax: (212) 656-5748

For updated information regarding NYSE Arca Options plan for the OCC Symbology Initiative visit: [www.nyse.com/optionssymbology](http://www.nyse.com/optionssymbology)

### REGULATORY BULLETINS/NOTICES IN THIS ISSUE

**RBO-08-12** Changes to the Minor Rule Plan and Related Rules.....**2**