



NYSE Arca WEEKLY BULLETIN

December 12, 2008 WB-08-50

The Bylaws and Rules of NYSE Arcasm Exchange ("Exchange"), in certain specific instances, require the Exchange to provide notice to all OTP Firms and OTP Holders (collectively "OTPs") and ETP Holders ("ETPs"). To satisfy this requirement, a copy of the *Weekly Bulletin*, including *Regulatory Bulletins*, is provided to all OTPs and ETPs on a weekly basis.

Pursuant to Exchange Rules, all OTPs and ETPs are reminded to promptly report business, home and mailing address changes, telephone number changes, and e-mail address changes to crs@nyx.com or Client Registration Services at (888) 689-7739, option 3.

NYSE Arca Equities

NEWLY APPROVED ETP HOLDERS*

CF Global Trading LLC

NEWLY APPROVE MARKET MAKER/ETP HOLDER

Goldman Sachs & Co.

TERMINATED ETP HOLDERS

(none)

Total ETP Holders 599

Total MM/ETP Holders 49

NYSE Arca Options.

NEWLY APPROVED OTP FIRMS AND HOLDERS*

(none)

TERMINATED OTP FIRMS AND HOLDERS:

(none)

Total OTP Holders 108

Total MM/OTP Holders 36

* for current week (as of 8 a.m. today)

Total ETP & OTP Holders 93

Total ETP Only Holders 505

Total OTP Only Holders 15

For updated information regarding NYSE Arca Options plan for the OCC Symbology Initiative visit: www.nyse.com/optionssymbology

IMM ASSIGNMENTS

IMM assignment for QQQQ: J59H J59-MSCOR 12/08 to 12/12

IMM assignment for IWM: J451 J45-CGRPA 12/08 to 12/12

For more information visit:

http://www.nyse.com/pdfs/Issues_No_LMM.pdf

OTP POSTINGS

The following applicants have applied for OTP status at the Exchange and are being posted for a period of three business days, during which time interested OTPs may submit written comments with respect to the qualifications of the applicants. Admission of applicants will become effective after applicants have been cleared and approved for OTP status pursuant to the policies of the Exchange.

12/09 – Fat Securities LLC – Broker Dealer and OTP Firm

REPORTS DUE TO THE EXCHANGE

Focus Report Due Dates – SEC Rules 17a-5(a) & 17a-10

FOCUS Reports must be submitted electronically and received by the NYSE Arca Financial & Operational Compliance Department on or before the following due dates:

Monthly FOCUS Reports are required from all NYSE Arca Market Makers and NYSE Arca Lead Market Makers:

October 2008 Monthly FOCUS Report

Due: Tuesday, November 25, 2008

Quarterly FOCUS Reports are required from all Clearing OTPs and DEA Broker-Dealers subject to the SEC Net Capital Rule:

4th Quarter 2008 FOCUS Report

Due: Tuesday, January 27, 2009

Annual Audited Financial Statements – SEC Rule 17a-5(d)

OTPs and ETPs are reminded to file their Annual Reports with the NYSE Arca Financial & Operational Compliance Department by the following due dates:

OTPs and ETPs with FYE September 30, 2008 ... December 1, 2008

OTPs and ETPs with FYE October 31, 2008 December 30, 2008

OTPs and ETPs are reminded to submit Annual Audited Financial Statements, Material Change Forms, Financial Arrangement Disclosures, and SIPC payments to the following address:

NYSE Euronext
Attn: [Firm's NYSE Coordinator]
20 Broad Street, 21st Floor
New York, NY 10005
Fax: (212) 656-5748

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**REGULATORY
INFORMATION
BULLETIN**

**RB-08-382
December 9, 2008**

TO: All DEA OTP Firms and OTP Holders
All DEA ETP Holders

FROM: Regulation

SUBJECT: 2009 SIPC Fees

All OTP Holders, OTP Firms, and ETP Holders for which NYSE Arca, Inc. and/or NYSE Arca Equities, Inc. (collectively "NYSE Arca") is the Designated Examining Authority ("DEA") will receive a Securities Investor Protection Corporation ("SIPC") FORM-4 and a bill for the 2009 SIPC Assessment directly from SIPC in early January 2009. This SIPC Assessment previously has been included as part of firms' monthly DEA bills. The completed SIPC FORM-4 and payment must be returned directly to SIPC, in the envelope that will be provided, by January 30, 2009.

Any questions regarding this bulletin should be directed to the SIPC Membership Department at 202-371-8300 or email SIPC at www.sipc.org.



**REGULATORY
INFORMATION
BULLETIN**

**RBO-08-20
December 12, 2008**

TO: All OTP Holders and OTP Firms

FROM: Options Surveillance Department

SUBJECT: Electronic Exercise Advices – Updated Email Address

The Options Surveillance Unit (“OSU”) recently established a generic email address to be used, in part, for the submission of exercise advices. Therefore, beginning with the December expiration, firms must submit exercise advices to the Exchange at the following email address: ArcaOSU@nyx.com This bulletin supersedes RBO-05-04 dated March 9, 2005.

As a reminder, those who submit exercise advices are responsible for ensuring that all relevant information is included therein. Pursuant to Exchange Rule 6.24, option holders have until 2:30 p.m. (PT) on the business day immediately prior to the expiration date or, in the case of Quarterly Options Series, on the expiration date, to make a final decision to exercise or not exercise an expiring option.

Questions regarding this bulletin, or exercise advice submissions in general, may be directed to either Casey McMahon, at cmcmahon@nyx.com (212-656-2267) or Jeffrey Maza at jmaza@nyx.com (212-656-5831).



REGULATORY INFORMATION BULLETIN

RBO-08-19
December 12, 2008

TO: All OTP Holders and OTP Firms

FROM: Options Regulation

SUBJECT: Bid/Ask Differentials in Certain High Priced Stocks

NYSE ARCA Rule 6.37A(b)(4) obligates Market Makers to adhere to certain maximum bid/ask differentials for quotes that are included in the Opening Auction. Due to the high price and/or high volatility of certain underlying stocks, NYSE Arca has granted limited quote relief for certain issues, **during the Opening Auction only**.

Market Makers are able to submit quotes, with up to **'Double'** the standard maximum bid/ask differential outlined in Rule 6.37A(b)(4), during the Opening Auction in the following option issues.

Baidu Com Inc	(BIDU)	Google Inc.	(GOOG)
Blackrock Inc.	(BLK)	Intuitive Surgical Inc.	(ISRG)
CME Group Inc.	(CME)	MasterCard Inc.	(MA)
First Solar Inc.	(FSLR)	Russell 2000 Index	(RUT)

Issue(s) dropped from prior quarter.

Apple Inc	(AAPL)	Oil Services HOLDRS	(OIH)
CF Industries Holdings	(CF)	Patriot Coal Corp	(PCX)
CNOOC Limited	(CEO)	Potash Corp Sask Inc.	(POT)
Deckers Outdoor Corp	(DECK)	Rio Tinto PLC	(RTP)
Goldman Sachs Group	(GS)	United States Steel Corp	(X)
iShares FTSE/Xinhua China 25	(FXI)		

This relief is granted beginning on **December 22, 2008** through the **March 20, 2009**.

For questions regarding this bulletin, OTP Holders may contact Peter Bijesse, Managing Director Options Floor Regulation @ 415-835-5200.