

November 20, 2009 WB-09-47

The Bylaws and Rules of NYSE ArcaSM Exchange ("Exchange"), in certain specific instances, require the Exchange to provide notice to all OTP Firms and OTP Holders (collectively "OTPs") and ETP Holders ("ETPs"). To satisfy this requirement, a copy of the *Weekly Bulletin*, including *Regulatory Bulletins*, is provided to all OTPs and ETPs on a weekly basis.

Pursuant to Exchange Rules, all OTPs and ETPs are reminded to promptly report business, home and mailing address changes, telephone number changes, and e-mail address changes to crs@nyx.com or Client Relationship Services at (888) 689-7739, option 3.

Equities

APPROVED ETP HOLDERS
(none)

APPROVED MARKET MAKER/ETP HOLDERS
(none)

TERMINATED ETP HOLDERS
Paris Securities Corporation

CORRECTIONS
(none)

Total ETP Holders	580
Total MM/ETP Holders	57

Options

NEWLY APPROVED OTP FIRMS AND HOLDERS*
(none)

TERMINATED OTP FIRMS AND HOLDERS
Paris Securities Corporation

Total OTP Holders	110
Total MM/OTP Holders	40

* for current week (as of 8 a.m. today)

Total Both ETP & OTP Holders	95
Total ETP Only Holders	485
Total OTP Only Holders	15

OTP POSTINGS

The following applicants have applied for OTP status at the Exchange and are being posted for a period of three business days, during which time interested OTPs may submit written comments with respect to the qualifications of the applicants. Admission of applicants will become effective after applicants have been cleared and approved for OTP status pursuant to the policies of the Exchange.

[Matlock Capital, LLC](#)

REPORTS DUE TO THE EXCHANGE

Focus Report Due Dates – SEC Rules 17a-5(a) & 17a-10

FOCUS Reports must be submitted electronically and received by the NYSE Arca Financial & Operational Compliance Department on or before the following due dates:

Monthly FOCUS Reports are required from all NYSE Arca Market Makers and NYSE Arca Lead Market Makers:

October 2009 Monthly FOCUS Report
Due: Tuesday, November 24, 2009

Quarterly FOCUS Reports are required from all Clearing OTPs and DEA Broker-Dealers subject to the SEC Net Capital Rule:

3rd Quarter 2009 FOCUS Report
Due: Friday, October 23, 2009

Annual Audited Financial Statements – SEC Rule 17a-5(d)

OTPs and ETPs are reminded to file their Annual Reports with the NYSE Arca Financial & Operational Compliance Department by the following due dates:

OTPs and ETPs with FYE August 31, 2009 October 30, 2009

OTPs and ETPs with FYE September 30, 2009 . November 30, 2009

OTPs and ETPs are reminded to submit Annual Audited Financial Statements, Material Change Forms, Financial Arrangement Disclosures, and SIPC payments to the following address:

NYSE Euronext
Attn: [Firm's NYSE Coordinator]
20 Broad Street, 21st Floor
New York, NY 10005
Fax: (212) 656-5748

REGULATORY BULLETINS/NOTICES IN THIS ISSUE

For updated Regulatory Bulletins visit:

<http://www.nyse.com/regulation/nysearca/1220033210192.html>

For updated information regarding NYSE Arca Options plan for the OCC Symbology Initiative visit: www.nyse.com/optionssymbology