

March 19, 2010 WB-10-11

The Bylaws and Rules of NYSE Arca<sup>SM</sup> Exchange (“Exchange”), in certain specific instances, require the Exchange to provide notice to all OTP Firms and OTP Holders (collectively “OTPs”) and ETP Holders (“ETPs”). To satisfy this requirement, a copy of the *Weekly Bulletin*, including *Regulatory Bulletins*, is provided to all OTPs and ETPs on a weekly basis.

Pursuant to Exchange Rules, all OTPs and ETPs are reminded to promptly report business, home and mailing address changes, telephone number changes, and e-mail address changes to [crs@nyx.com](mailto:crs@nyx.com).

## Equities

### APPROVED ETP HOLDERS

Flow Trader U.S. LLC  
Sumo Capital LLC

### APPROVED MARKET MAKER/ETP HOLDERS

(none)

### TERMINATED ETP HOLDERS

Brashear Trading LLC

### CORRECTIONS

<b>Total ETP Holders</b>	<b>566</b>
<b>Total MM/ETP Holders</b>	<b>59</b>

## Options

### NEWLY APPROVED OTP FIRMS AND HOLDERS\*

(none)

### TERMINATED OTP FIRMS AND HOLDERS

(none)

<b>Total OTP Holders</b>	<b>99</b>
<b>Total MM/OTP Holders</b>	<b>37</b>

\* for current week (as of 8 a.m. today)

<b>Total ETP &amp; OTP Holders</b>	<b>87</b>
<b>Total ETP Only Holders</b>	<b>479</b>
<b>Total OTP Only Holders</b>	<b>12</b>

## OTP POSTINGS

The following applicants have applied for OTP status at the Exchange and are being posted for a period of three business days, during which time interested OTPs may submit written comments with respect to the qualifications of the applicants. Admission of applicants will become effective after applicants have been cleared and approved for OTP status pursuant to the policies of the Exchange.

X-Change Financial Access, LLC

## REPORTS DUE TO THE EXCHANGE

### Focus Report Due Dates – SEC Rules 17a-5(a) & 17a-10

*FOCUS Reports of members for which NYSE Arca, Inc. is the assigned Designated Examining Authority (“DEA”) must be submitted electronically and received by the FINRA acting as agent for NYSE Arca Inc. (collectively the “Exchange”) on or before the due dates below:*

*FOCUS Reports of members for which NYSE Arca, Inc. is not the DEA must be submitted to NYSE Arca Inc. on or before the due dates below:*

**Monthly FOCUS Reports** are due as follows:

**February 2010 Monthly FOCUS Report**

**Due: Tuesday, March 23, 2010**

**Quarterly FOCUS Reports** are due as follows:

**1<sup>st</sup> Quarter 2010 FOCUS Report**

**Due: Monday, April 26, 2010**

### Annual Audited Financial Statements – SEC Rule 17a-5(d)

*OTPs and ETPs are reminded to file their Annual Audit Reports by the following due dates:*

OTPs and ETPs with FYE December 31, 2009 .....March 1, 2010

OTPs and ETPs with FYE January 31, 2010 .....April 1, 2010

OTPs and ETPs are reminded to submit Annual Audited Financial Statements, Material Change Forms, and Financial Arrangement Disclosures to the following address:

NYSE Euronext  
Attn: [Firm’s NYSE Coordinator]  
20 Broad Street, 22<sup>nd</sup> Floor  
New York, NY 10005

## REGULATORY BULLETINS/NOTICES IN THIS ISSUE

For updated Regulatory Bulletins visit:

<http://www.nyse.com/regulation/nysearca/1220033210192.html>

For updated information regarding NYSE Arca Options plan for the OCC Symbology Initiative visit: [www.nyse.com/optionssymbology](http://www.nyse.com/optionssymbology)